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S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE work Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/964.951 **Application Number** TRANSMITTAL September 27, 2001 **Filing Date FORM** Michael J. Payne, et al. **First Named Inventor** 2644 (to be used for all correspondence after initial filing) **Group Art Unit** Not yet assigned **Examiner Name** 042390.P12155 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Declaration and Power of Attorney for Patent Application Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Return Copy of Notice to File **Express Abandonment Request** Missing Parts; Declarations of Request for Refund Gregg A. Peacock; Declaration of Information Disclosure Statement Virginia Velazquez; Postcard CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ The Commissioner is hereby authorized to charge Incomplete Application any fees which may be required or credit any Response to Missing Parts overpayment to Deposit Account 02-2666. under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Blakely Sokoloff Taylor & Zafman, L.L.P. Firm Gregg A. Peacock 12400 Wilshire Boulevard, 7th Floor Reg., No. 45,001 Los Angeles, CA 90025-1026 Individual name Signature Date

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| Complete if Known | | |
|----------------------|--------------------------|-----|
| Application Number | 09/964,951 | |
| Filing Date | September 27, 2001 | |
| First Named Inventor | Michael J. Payne, et al. | |
| Examiner Name | Not yet assgined | |
| Group Art Unit | 2644 | *** |
| Attorney Docket No. | 042390.P12155 | G / |

Complete (if applicable)

| METHOD OF PAYMENT | FEE CALCULATION (continued) | PAPEILER |
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| The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | 3. ADDITIONAL FEES Large Small COP CN | Fee Paid |
| Deposit Account 02-2666 | Entity Entity | |
| Number U2-2000 | Fee Fee Fee Fee Fee Description | Fee Paid |
| Deposit District School of Tourism 6, 7,6, and LLD | Code (\$) Code (\$) | 130.00 |
| Account Name Blakely Sokoloff Taylor & Zafman LLP | 105 130 205 65 Surcharge - late filing fee or oath | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | |
| Applicant claims small entity status. | 139 130 139 130 Non-English specification | |
| See 37 CFR 1.27 | 147 2,520 147 2,520 For filing a request for ex parte reexamination | |
| 2. X Payment Enclosed: | 112 920* 112 920* Requesting publication of SIR prior to | |
| Check Credit card Money Other | Examiner action | |
| FEE CALCULATION | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | |
| Large Entity Small Entity | 116 400 216 200 Extension for reply within second month | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 117 920 217 460 Extension for reply within third month | |
| 101 740 201 370 Utility filing fee | 118 1,440 218 720 Extension for reply within fourth month | |
| 106 330 206 165 Design filing fee | 128 1,960 228 980 Extension for reply within fifth month | |
| 107 510 207 255 Plant filing fee | 119 320 219 160 Notice of Appeal | L |
| . 108 740 208 370 Reissue filing fee | 120 320 220 160 Filing a brief in support of an appeal | |
| 114 160 214 80 Provisional filing fee | 121 280 221 140 Request for oral hearing | ! |
| SUPTOTAL (4) (1) | 138 1,510 138 1,510 Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) | 140 110 240 55 Petition to revive - unavoidable | |
| 2. EXTRA CLAIM FEES Fee from | 141 1,280 241 640 Petition to revive - unintentional | |
| Ext <u>ra Claims below</u> Fee Paid | 142 1,280 242 640 Utility issue fee (or reissue) | |
| Total Claims20** = X = | 143 460 243 230 Design issue fee | |
| Independent Claims - 3** = X = | 144 620 244 310 Plant issue fee | 120.00 |
| Multiple Dependent | 122 130 122 130 Petitions to the Commissioner | 130.00 |
| Anna Balla and an an | 123 50 123 50 Processing fee under 37 CFR 1.17(q) | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 126 180 126 180 Submission of Information Disclosure Stmt | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | |
| 102 84 202 42 Independent claims in excess of 3 | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 104 280 204 140 Multiple dependent claim, if not paid | 149 740 249 370 For each additional invention to be | |
| 109 84 209 42 ** Reissue independent claims over original patent | examined (37 CFR § 1.129(b)) | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | 179 740 279 370 Request for Continued Examination (RCE) | |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | |
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| | | 260.00 |
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/964,951

LOS ANGELES, CA 90025

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

12400 WILSHIRE BOULEVARD, SEVENTH FLOOR

09/27/2001

Michael J. Payne

042390.P12155

CONFIRMATION NO. 2547

FORMALITIES LETTER

OC00000006960564

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

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